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**UNITED STATES PATENT AND TRADEMARK OFFICE**

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Title: Intranasal Delivery System  
Applicant: Steven J. Penner and Randal W. Sebring  
Application Number: 10/089,224  
Filing Date: March 27, 2002  
Group Art Unit: 3763  
Examiner Name: Manuel Mendez  
Attorney Docket Number: HeskaUSNP  
Assignee: Heska Corporation

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**LETTER OF TRANSMITTAL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find enclosed a reply to the official communication mailed December 10, 2004, including the following documents:

1. a copy of the Notice of Non-Compliant Amendment dated December 10, 2004 (1 page);
2. a Reply to Notice of Non-Compliant Amendment under 37 C.F.R. §1.121 (1 pages);
3. substitute pages for the amendment document filed November 23, 2004 (5 pages)
4. this firm Letter of Transmittal (2 pages);
5. Express Mail Certificates for each document; and
6. a Return Postcard Receipt.



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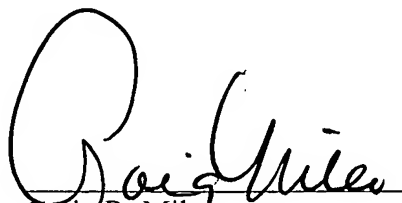
**CERTIFICATE OF EXPRESS MAILING**

I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached copy of Notice of Non-compliant Amendment (1 pages) with the United States Postal Service as "Express Mail" for mailing to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 10 day of January, 2005.

  
Craig R. Miles



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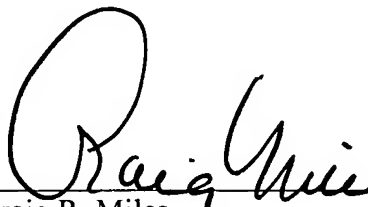
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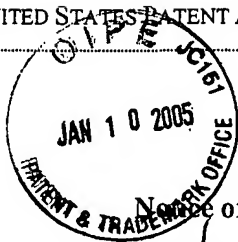
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 10 day of January, 2005.

  
Craig R. Miles



UNITED STATES PATENT AND TRADEMARK OFFICE



10/089224  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. Box 1450  
ALEXANDRIA, VA 22313-1450  
www.uspto.gov

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 11/23/04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required. **Only** the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted. 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_
- ☐ 3. Amendments to the drawings: \_\_\_\_\_
- ☒ 4. Amendments to the claims:
- ☒ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Legal Instruments Examiner (LIE)

571-272-4336  
Telephone No.